

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:) Atty. Docket No.: 47079-00046USP2
)
John J. Giobbi) Examiner: Not Assigned
Larry J. Pacey)
) Group Art Unit: Not Assigned
)
Application No.: Not Assigned)
)
Filed: June 25, 2001)
)
For: SYSTEM AND METHOD FOR)
SAVING STATUS OF PAUSED)
GAME OF CHANCE)

11011 U.S. PRO
09/891010
06/25/01

#2.

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

Commissioner for Patents
Washington, D.C. 20231

Attn: Box Patent Application

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered, and the references listed on enclosed Forms PTO-1449 and PTO-892, which were from Parent Application No. 09/538,934 filed March 31, 2000, be considered by the Examiner and made of record. In accordance with 37 C.F.R. § 1.98(d), copies of the listed references were previously submitted by the Applicant or cited by the Examiner for Parent U.S. Application No. 09/538,934 filed March 31, 2000.

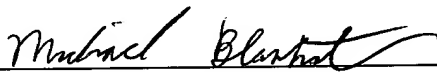
In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission that the

information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability, as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 232409. A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

Date: June 25, 2001



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Attorney for Applicants

Form PTO-1449 (modified)	Atty. Docket No. 47079-00046	Serial No. 09/538,934
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	Applicant Frohm et al.	
	Filing Date: March 31, 2000	Group: 3712

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 09/09/00
 06/25/01

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A01	5,769,714	06/23/1998	Wiener et al.	463	03	
	A02	6,007,427	12/28/1999	Wiener et al.	463	17	
	A03						
	A04						
	A05						
	A06						
	A07						
	A08						
	A09						
	A10						
	A11						
	A12						
	A13						
	A14						

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						
	B03						
	B04						
	B05						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation

EXAMINER:	DATE CONSIDERED:
<small>EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</small>	

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Page 1 of 1	Filing Date: March 31, 2000	Group: 3712

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	6,165,071	12/26/00	Weiss			
	A2						
	A3						
	A4						
	A5						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Brochure Williams Gaming, Inc., "Batter Up!"
	C2	
	C3	

Form PTO-1449 (modified) LIST OF PRIOR ART CITED BY APPLICANT Sheet 1 of 1				Application No.: 09/538,934 Filing Date: March 31, 2000 First Named Inventor: Erica A. Frohm Group Art Unit: 2654 Examiner: Not Assigned Attorney Docket No.: 47079-00046			
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	A3	6,135,885	10/24/00	Lermusiaux	463	20	
FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No
OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)							
Examiner Initial	Ref.	Document Information					
EXAMINER		DATE CONSIDERED					

11011 U.S. PTO
 09/28/00
 09/25/01

***Examiner:** Initial if citations considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Form PTO-1449 (modified)		Atty. Docket No. WMSI:018	Serial No. 09/318,903
List of Patents and Publications for Applicant's FOURTH INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant Jaffe et al.	
		Filing Date: May 26, 1999	Group: Unknown
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 3</i>	Other Art <i>See Page 5</i>	

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09/891010

06/25/01

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	3,281,149	10/25/1966	Miller			
	A2	4,095,795	06/20/1978	Saxton et al.			
	A3	4,306,768	12/22/1981	Egging			
	A4	4,335,809	06/2/1982	Wain			
	A5	4,448,419	05/15/1984	Telnaes			
	A6	4,508,345	04/02/1985	Okada			
	A7	4,624,459	11/25/1986	Kaufman			
	A8	4,721,307	01/26/1988	Okada			
	A9	4,763,256	08/09/1988	DeMar			
	A10	4,772,023	09/20/1988	Okada			
	A11	4,805,907	02/21/1989	Hagiwara			
	A12	4,871,171	10/03/1989	Rivero			
	A13	5,083,785	01/28/1992	Okada			
	A14	5,169,147	12/08/1992	Hamano			
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	A16	5,259,616	11/09/1993	Bergmann			
	A17	5,292,127	03/08/1994	Kelly et al.			
	A18	5,342,047	08/30/1994	Heidel et al.			
	A19	5,342,049	08/30/1994	Wichinsky et al.			
	A20	5,380,008	01/10/1995	Mathis et al.			
	A21	5,393,057	02/28/1995	Marnell, II			
	A22	5,401,024	03/28/1995	Simunek			
	A23	5,415,404	05/16/1995	Joshi et al.			
	A24	5,429,361	07/04/1995	Raven et al.			
	A25	5,449,173	09/12/1995	Thomas et al.			

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

C: 89957(1X/PT011.DOC)C: 97314(2336011.DOC)C: 97310(2332011.DOC)

Form PTO-1449 (modified)		Atty. Docket No. WMSI:018	Serial No. 09/318,903
List of Patents and Publications for Applicant's		Applicant Jaffe et al.	
FOURTH INFORMATION DISCLOSURE STATEMENT		Filing Date: May 26, 1999	Group: Unknown
(Use several sheets if necessary)			
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 3</i>	Other Art <i>See Page 5</i>	

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A26	5,456,465	10/10/1995	Durham			
	A27	5,456,466	10/10/1995	Miles			
	A28	5,542,669	08/06/1996	Charron et al.			
	A29	5,547,192	08/20/1996	Ishibashi			
	A30	5,624,119	04/29/1997	Leake			
	A31	5,647,798	07/15/1997	Falciglia			
	A32	5,664,781	09/09/1997	Feola			
	A33	5,697,843	12/16/1997	Manship et al.			
	A34	5,704,835	01/06/1998	Dietz, II			
	A35	5,722,891	03/03/1998	Inoue			
	A36	5,779,545	07/14/1998	Berg et al.			
	A37	5,788,573	08/04/1998	Baerlocher et al.			
	A38	5,823,874	10/20/1998	Adams			
	A39	5,848,932	12/15/1998	Adams			

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	1,129,607	10/09/1968	UK			
	B2	1 476 848	06/16/1977	UK			
	B3	1 591 623	06/24/1981	UK			
	B4	2 066 991	07/15/1981	UK			
	B5	2 072 395	09/30/1981	UK			
	B6	2 083 936	03/31/1982	UK			
	B7	2 084 371	04/07/1982	UK			
	B8	2 096 376	10/13/1982	UK			

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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B9	2 097 160	10/27/1982	UK			
	B10	2 105 891	03/30/1983	UK			
	B11	2 106 685	04/13/1983	UK			
	B12	2 117 952	10/19/1983	UK			
	B13	2 147 442	05/09/1985	UK			
	B14	2 147 773	05/15/1985	UK			
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	B28	2 222 712	03/14/1990	UK			
	B29	2 226 907	07/11/1990	UK			
	B30	2 229 565	09/26/1990	UK			
	B31	2 230 373	10/17/1990	UK			
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	B33	2 242 300	09/25/1991	UK			

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	B34	2 253 154	09/02/1992	UK			
	B35	2 262 642	06/23/1993	UK			
	B36	2 270 787	03/23/1994	UK			
	B37	2 273 384	08/15/1994	UK			
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	B41	WO 8808179	10/20/1988	PCT			
	B42	WO 8912875	12/28/1989	PCT			
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	B46	37 00 861	07/28/1988	Germany			
	B47	40 14 477	07/25/1991	Germany			
	B48	0 142 371	05/22/1985	EP			
	B49	0 148 001	07/10/1985	EP			
	B50	0 333 338	09/20/1989	EP			
	B51	0 577 415 A2	01/05/1994	EP			

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Brochure of Monopoly SWP Game; JPM Ltd., 4 pgs.; date unknown
	C2	WMS' Big Money Advertisement Sheet; WMS Gaming Inc.; 1 pg.; date unknown
	C3	WMS' Piggy Bankin' Advertisement Sheet; WMS Gaming Inc.; 1 pg.; date unknown
	C4	WMS' Pirate's Thunder Advertisement Sheet; WMS Gaming Inc.; 1 pg.; date unknown

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Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C5	Bally Circus Ad, excerpt from "Lemons, Cherries and Bell Fruit Gum"; Richard M. Bueschel; 3 pgs.; date unknown
	C6	Bally Bingo Ad, excerpt from "Lemons, Cherries and Bell Fruit Gum"; Richard M. Bueschel; 1 pg.; date unknown
	C7	Las Vegas Slot Machine Ad, excerpt from "Lemons, Cherries and Bell Fruit Gum"; Richard M. Bueschel; 1 pg.; date unknown
	C8	Phantom Haus website publication; Williams Electronics Games, Inc.; 2 pgs.; date unknown
	C9	Arabian Riches website publication; Silicon Gaming Inc.; 2 pgs.; date 7/17/98
	C10	Buccaneer Gold website publication; Silicon Gaming Inc.; 2 pgs.; date 7/17/98
	C11	Fort Knox website publication; Silicon Gaming Inc.; 2 pgs.; date 7/17/98
	C12	Lady of Fortune website publication; Silicon Gaming Inc.; 2 pgs.; date 7/17/98
	C13	Riddle of the Sphinx website publication; Silicon Gaming Inc.; 2 pgs.; date 7/17/98
	C14	Information Sheets for Kaleidoscope game; Williams Electronics Games, Inc.; 3 pgs.; date unknown
	C15	Information Sheets for Double Wild & Loose Game; Williams Electronics Games, Inc.; 3 pgs.; date unknown
	C16	Information Sheets for Wild Cherry (120A) Game; International Game Technology; 2 pgs.; date 11/7/94
	C17	Information Sheets for Double Wild Cherry (195A) Game; International Game Technology; 3 pgs.; date 11/7/94
	C18	Chapters 10, 11, 12; excerpts from "Lemons, Cherries and Bell-Fruit-Gum"; Richard M. Bueschel; 71 pgs.; 1995.
	C19	Advertisement, Club Vegas, Barcrest; 1 pg.; date unknown.
	C20	Advertisement, Viva Club Vegas!, Barcrest; 1 pg.; date unknown.
	C21	Advertisement, "Monopoly: Maygay There for the Taking"; 2 pgs.; date unknown.
	C22	Advertisement, "The Big Breakfast"; Channel Four Television Corporation; 2 pgs.; 1993
	C23	Advertisement, "Midas Touch"; 2 pgs.; date unknown.
	C24	Advertisement, "Acropolis"; Automatic (Fruit) Designs, Ltd.; 2 pgs.; date unknown.
	C25	Advertisement, "Adders & Ladders"; Barcrest; 2 pgs.; date unknown.
	C26	Advertisement, "The Wild West"; Bell-Fruit Manufacturing; 2 pgs.; date unknown.

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U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 3	Other Art See Page 5

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C27	Advertisement, "Premier Club Manager"; Bell-Fruit Manufacturing; 2 pgs.; date unknown.
	C28	Advertisement, "Fortune Wheel"; Project Customer Services; 2 pgs.; date unknown.
	C29	Advertisement, "Road Hog"; Barcrest; 2 pgs.; date unknown.
	C30	Advertisement, "Viva! Six! Las Vegas"; Barcrest; 2 pgs.; date unknown.
	C31	Advertisement, "Golden Circle"; Delta Automaten; 1 pg.; date unknown.
	C32	Advertisement, "Club Double"; M-D-M Coin Sales Ltd.; 2 pgs.; date unknown.
	C33	Advertisement, "Treasure Island"; American Alpha, Inc.; 1 pg.; date unknown.
	C34	Advertisement, "Bonus Card"; Andries Robert Automatic s.p.r.l.; 1 pg.; date unknown.
	C35	Advertisement, "Super Square"; Maygay Machines Ltd.; 2 pgs.; date unknown.
	C36	Advertisement, "Lucky Bank"; 1 pg.; date unknown.
	C37	Advertisement, "Happy Clown"; Andries Robert Automatic s.p.r.l.; 1 pg.; date unknown.
	C38	Advertisement, "Jackpot Stampede"; WMS Gaming Inc.; 2 pgs.; date unknown.
	C39	Brochure, "Perfect Universal Slot Machines"; Universal Co., Ltd.; 16 pgs.; date unknown.
	C40	Advertisement, "Club Make a Million"; Bell-Fruit Manufacturing Co., Ltd.; 2 pgs.; date unknown.
	C41	Advertisement, "Instant Jackpots"; Bell-Fruit Manufacturing Co., Ltd.; 2 pgs.; date unknown.
	C42	Cover page, "Enter Disposable Gaming"; <i>Euroslot. The International Coin-Operated Machine Journal</i> ; 1 pg.; April, 1994.
	C43	Advertisement, Barcrest Limited; 1 pg.; date unknown.
	C44	Cover page, "Covers Your World"; <i>Euroslot. The Currency of the Coin Machine Industry</i> ; 1 pg.; date unknown.
	C45	Article, "Bangkok on the Brink"; <i>Euroslot. The International Coin-Operated Machine Journal</i> ; 2 pgs.; November, 1993.
	C46	Article, "Scandinavia on the Brink of the EU melting pot"; <i>Euroslot. The International Coin-Operated Machine Journal</i> ; 2 pgs.; August, 1994.
	C47	Advertisement, "Only Fools and Horses"; Bell-Fruit Manufacturing Co., Ltd.; 2 pgs.; date unknown.

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Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C48	Advertisement, "Main Attraction"; Bell-Fruit Manufacturing Co., Ltd., 2 pgs.; date unknown.
	C49	Brochure, "World Players"; Barcrest; 6 pgs.; date unknown.
	C50	Brochure, "JPM Presents MONOPOLY Deluxe"; JPM International Ltd.; 5 pgs.; date unknown.
	C51	Brochure, "The 50th Show"; Ate International; 2 pgs.; January, 1994.
	C52	Advertisement, "Colossus"; AFD.; 1 pg.; date unknown.
	C53	Advertisement, "Wheel of Fortune"; Project Creating Entertainment; 2 pgs.; date unknown.
	C54	Advertisement, "Lucky Horseshoes"; JPM Automatic Machines Ltd.; 2 pgs.; date unknown.
	C55	Advertisement, "Lucky Jackpots"; JPM Automatic Machines Ltd.; 2 pgs.; date unknown.
	C56	Advertisement, "MONOPOLY"; JPM Automatic Machines Ltd.; 2 pgs.; date unknown.

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Form PTO-1449 (modified) LIST OF PRIOR ART CITED BY APPLICANT Sheet 1 of 1	Application No.: 09/318,903 Filing Date: May 26, 1999 First Named Inventor: Joel R. Jaffe Group Art Unit: 3711 Examiner: Not Assigned Attorney Docket No.: WMSI:018
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U.S. PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Name	Class	Sub-Class	Filing Date (if Application)
	D1	5,951,397	09/14/99	Dickinson	463	36	

FOREIGN PATENT DOCUMENTS							
Examiner Initial	Ref.	Document Number	Date	Country	Class	Sub-Class	Translation Yes/No

OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)		
Examiner Initial	Ref.	Document Information

EXAMINER	DATE CONSIDERED
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